



INDIVIDUAL TRANSFER REQUEST SUPPLEMENTAL FORM INTERNATIONAL TRANSFER QUESTIONNAIRE

All applications for international/overseas transfers must be submitted with a full technical prospectus and/or pension plan document and the responses to the questions below are specific to that Receiving Pension Plan.

This document serves to provide the pensions regulator with an overview of the key provisions within the Receiving pension plan and its jurisdiction. These questions are raised in an effort to ensure that the Receiving Pension Plan is in line with the Cayman Islands National Pensions Law.

The document should be completed by the Administrator of the Receiving Pension Plan to which the member wishes to transfer their pension benefit.

Questions

1. Name of Member: _____
2. Are the transferred funds locked in within the Receiving Pension Plan? Please provide documentation to support your response and indicate relevant section.

3. Are there any exceptions to locking in? What disbursements are permissible? Please provide documentation to support your response and indicate relevant section..

4. Does the Receiving Pension Plan allow the member to cancel the account and obtain a full refund? Please provide documentation to support your response.

5. Is the Receiving Pension Plan protected from seizure and garnishment under personal property or bankruptcy laws in that jurisdiction? Please provide documentation to support your response and indicate relevant section.

6. Are the benefits restricted until early retirement? Please provide documentation to support your response and indicate relevant section.

7. What is the early retirement age in this jurisdiction? Please provide documentation to support your response and indicate relevant section.

8. Are the benefits fully vested in the Receiving Pension Plan? Please provide documentation to support your response and indicate relevant section.



**DEPARTMENT OF
LABOUR & PENSIONS**
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9. Does the Receiving Pension Plan allow transfers to other plans? If, Please provide the permissible types of pension plans. Please provide documentation to support your response.

10. Is the Receiving Pension Plan tax sheltered to retirement? Please provide documentation to support your response and indicate relevant section.

11. If there is a limit on the amount to be transferred in to the Receiving Account, how is the excess amount to be treated? Please provide documentation to support your response and indicate relevant section.

12. What type of plan is the Receiving Plan?

- a. Occupational Pension Plan
- b. Locked In Retirement Savings Plan
- c. Unlocked Retirement Savings Plan
- d. Annuity Product
- e. Other

13. How are the pension benefits to be paid out from the Receiving Plan?

- a. Defined Benefit
- b. Annuity Purchase
- c. Drawn Down Account
- d. Other

14. Supplemental Information: Please provide any additional information that you that would be of relevance in our approval process.

Authorised Signature for the Administrator of the Receiving Pension Plan

Name (Block Letters)

Date signed (day/month/year)